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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/779,402	02/13/2004	Steven J. McCarthy	ID-504 (80226)	2799
27975	7590	10/23/2007		
ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A. 1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE P.O. BOX 3791 ORLANDO, FL 32802-3791			EXAMINER NGUYEN, MINH CHAU	
			ART UNIT 2145	PAPER NUMBER
			NOTIFICATION DATE 10/23/2007	DELIVERY MODE ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

creganoa@addmg.com

Office Action Summary

Application No.

10/779,402

Applicant(s)

MCCARTHY ET AL.

Examiner

MINH-CHAU NGUYEN

Art Unit

2145

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 13 February 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1-7,9-21 are rejected under 35 U.S.C. 102(e) as being anticipated by

Albert et al. (Albert) (US 6,970,913B1).

2. Claim 1, Albert teaches a communications system comprising:

a plurality of servers (i.e. servers 1-3) connected together in a network (i.e. network 210) for processing a plurality of different job types (i.e. process incoming and outgoing packets) having respective different resource usage characteristics (i.e. usage of processing capacity) associated therewith (Col. 6, L. 51-55; and Col. 13, L. 60-65; and Col. 30, L. 14-31; and Col. 31, L. 53-59);

each server determining a respective health metric (i.e. level of load as a weight factor which is a number of connections being serviced by each server) thereof based upon at least one job being processed thereby and weighting the health metric (i.e. weight) based upon the respective resource usage

characteristic of the at least one job (i.e. usage of processing capacity) (figure 14; and Col. 30, L. 1-31; and Col. 31, L. 53-59; and Col. 32, L. 49-51); and

a dispatcher (i.e. service manager 1140 in figure 11A) for collecting the weighted health metrics (i.e. weights) from said servers (i.e. servers 1-4) and distributing jobs to said servers based thereon (figure 14; and Col. 3, L. 59-Col. 4, L. 3; and Col. 30, L. 1-49; and Col. 31, L. 53-Col. 32, L. 19).

3. Claim 2, Albert teaches wherein the resource usage characteristics comprise at least one processing utilization characteristic and at least one input/output utilization characteristic (Col. 6, L. 51-55; and Col. 30, L. 14-31).
4. Claim 3, Albert teaches further comprising a knowledge base for cooperating with said dispatcher (i.e. service manager) for storing the weighted health metrics (i.e. weights) (Col. 31, L. 49-59).
5. Claim 4, Albert teaches wherein said servers map the weighted health metrics (i.e. weights) for different resource usage characteristics to a common scale (i.e. a common level) (Col. 3, L. 51-58; and Col. 30, L. 1-31, L. 61-Col. 31, L. 3).

6. Claim 5, Albert teaches wherein said servers provide completed job results to said dispatcher (i.e. service manager), and wherein the weighted health metrics are provided to said dispatcher with the completed job results (i.e. "the feedback messages from the real machines is that the messages somehow express the level of load on the real machine as a result of handling connections", and "a process executed on a server for determining a weight to be sent to the service manager in a feedback message...Next, in a step 1206, the server determines the remaining processing capacity". From these quotation notes, it does teach the weights are sent to the service manger with the completed job/process results) (Col. 30, L. 1-31).
7. Claim 6, Albert teaches further comprising at least one load generator (i.e. load balance engine/algorithm) for generating the jobs for said servers and communicating the jobs to said dispatcher; and wherein said dispatcher further provides the completed job results to said at least one load generator (Col. 3, L. 59-Col. 4, L. 3; and Col. 8, L. 57-67; and Col. 9, L. 16-22; and Col. 11, L. 56-65; and Col. 12, L. 46-49; and Col. 30, L. 1-31).
8. Claim 7, Albert teaches wherein said dispatcher periodically polls said servers for the weighted health metrics (Col. 30, L. 43-52).

9. Claim 9, Albert teaches a load distributor for a plurality of servers (i.e. servers 1-3) connected together in a network (i.e. network 210) for processing a plurality of different job types (i.e. process incoming and outgoing packets) having respective different resource usage characteristics (i.e. usage of processing capacity) associated therewith (Col. 6, L. 51-55; and Col. 13, L. 60-65; and Col. 30, L. 14-31; and Col. 31, L. 53-59), and each server determining a respective health metric (i.e. level of load as a weight factor which is a number of connections being serviced by each server) thereof based upon at least one job being processed thereby and weighting the health metric (i.e. weight) based upon the respective resource usage characteristic of the at least one job (i.e. usage of processing capacity) (figure 14; and Col. 30, L. 1-31; and Col. 31, L. 53-59; and Col. 32, L. 49-51), the load distributor comprising:

a dispatcher (i.e. service manager 1140 in figure 11A) for collecting the weighted health metrics (i.e. weights) from the servers (i.e. servers 1-4) and distributing jobs to the servers based thereon (figure 14; and Col. 3, L. 59-Col. 4, L. 3; and Col. 30, L. 1-49; and Col. 31, L. 53-Col. 32, L. 19); and

a knowledge base for cooperating with said dispatcher (i.e. service manager) for storing the weighted health metrics (i.e. weights) (Col. 31, L. 49-59).

10. Claim 14, Albert teaches a job distribution method for a plurality of servers (i.e. servers 1-3) connected together in a network (i.e. network 210), the servers for processing a plurality of different job types (i.e. process incoming and outgoing packets) having respective different resource usage characteristics (i.e. usage of processing capacity) associated therewith (Col. 6, L. 51-55; and Col. 13, L. 60-65; and Col. 30, L. 14-31; and Col. 31, L. 53-59), the method comprising:

determining a respective health metric of each server (i.e. determining level of load as a weight factor which is a number of connections being serviced by each server) based upon at least one job being processed thereby and weighting the health metric (i.e. weight) based upon the respective resource usage characteristic of the at least one job (i.e. usage of processing capacity) (figure 14; and Col. 30, L. 1-31; and Col. 31, L. 53-59; and Col. 32, L. 49-51); and

distributing jobs to the servers based upon the weighted health metrics (figure 14; and Col. 3, L. 59-Col. 4, L. 3; and Col. 30, L. 1-49; and Col. 31, L. 53-Col. 32, L. 19).

11. Claim 16, Albert teaches further comprising mapping the weighted health metrics (i.e. weights) for different resource usage characteristics to a common scale (i.e. a common level) (Col. 3, L. 51-58; and Col. 30, L. 1-31, L. 61-Col. 31, L. 3); and wherein distributing jobs to the servers comprises distributing the jobs based

Art Unit: 2145

upon the commonly scaled weighted health metrics (figure 14; and Col. 3, L. 51-Col. 4, L. 3; and Col. 30, L. 1-49, L. 61-Col. 31, L. 3, L. 53-Col. 32, L. 19).

12. Claims 10-13 are corresponding apparatus claims of system claims 2,4,5,7.

Therefore, they are rejected under the same rationale.

13. Claim 15 is corresponding method claim of system claim 2. Therefore, it is rejected under the same rationale.

14. Claims 17-21 are corresponding computer-readable medium claims of apparatus claims 9-13. Therefore, they are rejected under the same rationale.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

15. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Albert as applied to claim 1 above, and further in view of Ross et al. (Ross) (US 6,263,212B1).

16. Claim 8, Albert teaches the jobs relate to IP packet processing (Col. 6, L. 51-63; and Col. 7, L. 31-39).

Albert fails to teach the jobs relate to electronic mail (e-mail) processing. However, Ross, in the same field of endeavor having closely related objectivity, teaches the jobs relate to electronic mail (e-mail) processing (Col. 6, L. 1-10).

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have incorporated Ross's teachings of the jobs relate to electronic mail (e-mail) processing, in the teachings of Albert in load balancing using distributed forwarding agents with application based feedback for different virtual machines, for provide an advantage for generating load balancing for email processing.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH-CHAU NGUYEN whose telephone number is (571) 272-4242. The examiner can normally be reached on 7AM-3:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JASON CARDONE can be reached on (571) 272-3933. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2145

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Examiner: Minh-chau Nguyen
Art Unit: 2145



JASON CARDONE
SUPERVISORY PATENT EXAMINER